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PATENT

Attorney Docket No.: 16869B-102700US

Client Reference No.: HAL 295

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By: 

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Yuichi Taguchi

Application No.:

Filed:

For: MANAGEMENT METHOD FOR  
DATA RETENTION

Examiner:

Art Unit:

INFORMATION DISCLOSURE  
STATEMENT UNDER 37 CFR §1.97 and  
§1.98

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement.

However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,



Steve Y. Cho  
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60169067 v1

Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>			<b>Complete if Known</b>		
			Application Number		
			Filing Date		
			First Named Inventor	Taguchi, Yuichi	
			Art Unit		
Examiner Name					
Sheet	1	of	2	Attorney Docket Number	16869B-102700US

U.S. PATENT DOCUMENTS+					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Kind Code <sup>2</sup> (if known)			
		US-			

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
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Substitute for form 1449B/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	
				Filing Date	
				First Named Inventor	
				Art Unit	
				Examiner Name	
Sheet	2	of	2	Attorney Docket Number	16869B-102700US

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	AA	Peter A. Gerr, et al., "ESG Impact Report, Compliance: The Effect on Information Management and the Storage Industry," <i>Enterprise Storage Group</i> (May 2003), pp:20-102.	
	AB	"Hitachi LDEV Guard, Data Retention Manager Software," <i>Hitachi Data Systems</i> (2003).	
	AC	"Snaplock Compliance and Snaplock Enterprise Software," <i>Network Appliance, Inc.</i> (2003)	
	AD	W. Curtis Preston, "Using SANs and NAS," <a href="http://www.oreilly.com">www.oreilly.com</a>	
	AE	"Overview: Basic DICOM File Structure," <a href="http://www.leadtools.com">www.leadtools.com</a> (2003/12/09)	

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